

411-000-203 Instructions for Completing Form MC-9-NF, "Prior Authorization for Nursing Facility Care"

Use: Form MC-9-NF is used to prior authorize Medicaid payment for nursing facility care. It may be initiated by the nursing facility, Area Agency on Aging or the physician.

Number Prepared: One copy of the four-part form is completed.

Completion: Form MC-9-NF is completed as follows:

SECTION I (Completed by the initiator):

Client Name: Enter the first and last name of the client.

Medicaid Number: Enter the client's 11-digit Nebraska Medicaid number.

Facility Name: Enter the name of the nursing facility or swing bed hospital.

Address: Enter the complete address of the nursing facility or swing bed hospital.

Note: This document is designed to fit into a window envelope; the name and address section will become the "mailing label".

Facility Provider Number: Enter the nursing facility's or swing bed hospital's 11-digit Nebraska Medicaid provider number.

SECTION II (Completed by HHS Central Office staff or the Area Agency on Aging staff):

Level: Enter the two-digit care level for this client (completed by HHS Central Office staff).

Signature/Date: The Program Specialist/RN./Area on Aging staff signs and dates here.

SECTION III (Completed by the physician):

Note: If Form DM-5, "Physician's Confidential Report," is used, attach it to this form and do not complete this section. If Form DM-5 is used, the physician does not complete this section; the initiator (facility) enters the name of the physician, the date on form DM-5, and the physician's license number. If Form DM-5 is not used, attach a current history and physical and complete this section. ("Current" is defined as within 5 days before admission or within 48 hours after admission.)

Diagnoses: Enter the client's diagnoses in the following order:

1. Primary;
2. Secondary; and
- 3.&4. Tertiary

The physician certifies whether the client is in need of nursing facility care. The physician signs this section and enters his/her license number.

SECTION IV (Complete by HHS Central Office staff):

DX Code: Enter the appropriate ICD-9-CM diagnosis codes according to the diagnoses listed in Section III or on Form DM-5.

SECTION V (Completed by nursing staff of the facility):

Admission Date: Enter the date the client was admitted to the facility.

Note: The admission date is the date the client was admitted for the current admission, regardless of payment source.

Medication/Special Treatments: Enter the names of medications and special treatments the client receives. If additional space is needed, attach a separate sheet of paper. Computerized medication and treatment sheets may be used.

Medicare Coverage: Enter the dates (from and to) for which Medicare covered the nursing facility care (first and last Medicare covered day).

Discharge: If the resident has been discharged, enter the discharge date.

Signature: The nursing facility staff person who completes this section signs and dates this section.

SECTION VI (Completed by HHS Central Office staff):

Eligibility Determination Date: Enter the date on which the client's eligibility was determined.

Medical Effective Date: Enter the date on which the client's Medicaid eligibility begins.

Long Term Care Insurance: Check the appropriate box. If yes, enter the name of the insurance company and the policy number.

To Date: If Medicaid payment ends before the date of discharge, enter the date that Medicaid payment ends.

Medicaid Payment Effective Date: Enter the date that Medicaid payment to the nursing facility begins. Note: Do NOT include Medicare coinsurance days.

Signature: The local office worker's name and local office name is entered by Central Office staff.

Distribution: The initiator sends the entire form with all attachments to the HHS Central Office. HHS Central Office staff returns the local office and facility copies.

Adjustments: Adjustments to the prior authorization record are made on Form MC-10. See 471-000-211 for instructions.

Nebraska Department of Health and Human Service

**Prior Authorization for  
Nursing Facility Care**

This authorization is void if client is ineligible

NEBRASKA HEALTH AND HUMAN SERVICES SYSTEM



**SECTION I**

Client Name: \_\_\_\_\_

Medicaid  
Number: \_\_\_\_\_

Facility Name \_\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_

Facility  
Provider  
Number: \_\_\_\_\_

**SECTION II: CENTRAL OFFICE USE ONLY**

From the information below, I certify that this client meets criteria for nursing facility care under the Nebraska Medicaid Program at:

Level \_\_\_\_\_

Signature \_\_\_\_\_

Date \_\_\_\_\_

**SECTION III: PHYSICIAN COMPLETES THIS SECTION**

NOTE: If form DM-5 is used, attach it to this form, and complete only license number box in this section. If DM-5 is not used, attach current (within 30 days) history and physical, and complete this entire section:

Diagnoses: 1. \_\_\_\_\_ 2. \_\_\_\_\_  
3. \_\_\_\_\_ 4. \_\_\_\_\_

**CERTIFICATION OF NEED FOR CARE:** I certify the above-named client is in need of nursing facility care at the time of admission and that nursing facility services continue to be needed. ☐ Yes ☐ No

M.D. Signature \_\_\_\_\_

M.D. License No. \_\_\_\_\_

(required)

**SECTION IV: CENTRAL OFFICE USE ONLY**

DX Code: 1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

MR Diagnosis

**SECTION V: FACILITY STAFF COMPLETES THIS SECTION**

Admission Date \_\_\_\_\_

Attachments: \_\_\_\_\_ Medications/special treatments  
\_\_\_\_\_ Current history and physical  
\_\_\_\_\_ Identification Screen

Medicare - coverage (if applicable), from \_\_\_\_\_ to \_\_\_\_\_ (last date of Medicare coverage).

Signature \_\_\_\_\_ Discharge Date \_\_\_\_\_

**SECTION VI: CENTRAL OFFICE COMPLETES THIS SECTION**

Eligibility Determination Date \_\_\_\_\_ Medical Effective Date \_\_\_\_\_

To: \_\_\_\_\_

Long Term Care Insurance ☐ Yes ☐ No - Long Term Care Insurance Company \_\_\_\_\_

Policy Number \_\_\_\_\_ Medicaid Payment Effective Date \_\_\_\_\_

Name of Caseworker \_\_\_\_\_ Local Office \_\_\_\_\_

